



SURVEY OF SEXUAL VICTIMIZATION: 2023-

Substantiated Incident Form (Adult)

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

Incident Number ____ out of

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1.	Did the incident include repeated behaviors?	4.	Did the incident take place in an area subject to video monitoring? (Mark all that apply.)
	If "Yes" → a. On approximately, how many different dates did it occur?		01 ☐ Yes 03 ☐ Unknown 02 ☑ No
	→ b. On what date did the most recent incident occur?	5.	What time did the incident occur? (Mark all that apply.)
	Month Day Year		01 Morning (6 a.m. to 11:59 a.m.)
			02 Afternoon (noon to 5:59 p.m.)
			03 Evening (6 p.m. to 11:59 p.m.)
	If "No" → a. On what date did the incident occur?		04 Overnight (midnight to 5:59 a.m.)
	Month Day Year		05 Time unknown
		6.	Who reported the incident? (Mark all that apply.)
			01 Victim
2.	In what facility did the incident occur?		02 Another inmate (nonvictim)
	Name		03 Uictim's family, friend, or legal guardian
	CCP West		04 Correctional officer or front-line staff
	City State		05 Administrative staff
	Warm Sorins NT		06 Medical, healthcare, or mental health staff
	Will Spring		07 Instructor, teacher, or counselor
3.	Where did the incident occur? (Mark all that apply.)		08 Other staff (e.g., kitchen worker, maintenance staff)
	01 In the victim's cell or room (If the victim and perpetrator		09 Chaplain or other religion official
	share a cell or room, count as the victim's cell)		10 Perpetrator
	02 In the perpetrator's cell or room		11 Perpetrator's family, friend, or legal guardian
	03 In a dormitory or other multiple housing unit		12 Grievance coordinator, grievance process, or ombuds
	04 \(\square\) In a common area (e.g., shower, dayroom, bathroom)		13 Attorney (victim's or perpetrator's)
	05 In a temporary holding cell or intake area within the facility		14 Confidential informant, anonymous tip, hotline, or through monitoring (e.g., camera, telephone, or mail)
	of \square In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)		15 ☐ Other – Specify
	or \square In an instructional area (e.g., classroom, school, library, conference room)		
	08 In a recreation area (e.g., yard, courtyard, gymnasium)	7.	What was the type of sexual victimization incident?
	09 In a medical area (e.g., infirmary, health clinic)		(See definitions on page 9.)
	10 ☐ In a staff area (e.g., office, break room, counselor's office)		01 Inmate-on-inmate sexual abuse
	11 Off-site or while in transit		→ Complete sections A and B
	12 ☐ Other – Specify ☑		o2 ☐ Inmate-on-inmate sexual harassment → Complete sections A and B
	12 Other - Specify 7		os Staff-on-inmate sexual abuse
			→ Complete sections A and C
			04 Staff-on-inmate sexual harassment
	13 Location unknown		→ Complete sections A and C
	14 Location not applicable (e.g., involved written, phone, or virtual communication)		Complete Sections A and S

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

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8,	How many victims were involved in the incident? Number of victims	14	 Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
		1	
	→ If more than two victims were involved, please complete this form online.		Disability is defined as (a) A physical or mental impairmen that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an
9.	Victim #1: What was the victim's sex assigned at birth?		impairment, or (c) being regarded as having such an impairment.
	<u>Sex</u> is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.		01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)
	01 Male		02 A cognitive impairment or intellectual disability (e.g.,
	02 Female		ADHD, autism spectrum disorder, dementia, a learning disability, etc.)
10.	Victim #1: What was the victim's gender identity? Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at		O3 A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	birth.		
	01 Male	15.	Victim #1: Did the victim sustain any physical injury during the incident?
	02 Female		01 ☐ Yes 02 ☐ No 03 ☐ Unknown
	os Transgender (A person whose gender identity is different from the person's sex assigned at birth.)		If "Yes" → a. What injuries occurred?
	04 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem		(Mark all that apply.)
	to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)		01 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts 02 ☐ Bites
	05 Gender nonconforming (A person whose gender		03 🗆 Burns
	identity does not conform to traditional societal		04 Chipped or knocked out teeth
	gender expectations.)		05 Anal or vaginal tearing
			06 Knocked unconscious
	06 Other - Specify		07 Broken bones
	07 Unknown		08 Knife or stab wounds
			09 Internal injuries
11.	Victim #1: What was the victim's age at the		10 ☐ Other – Specify ⊋
	time of the incident?		
	N		
	<u>VII</u> years		
40	W		→ b. Did the victim receive medical
12.	Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)		treatment for these injuries? o1 Yes o2 No o3 Unknown
	01 White		
	02 Black or African American	16	Victim #4. After the incident was well to
	03 Hispanic or Latino	10.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)
	04 American Indian or Alaska Native		01 Provided with counseling or mental health treatment
	05 🔲 Asian		02 Given a medical examination
	06 Native Hawaiian or Pacific Islander		03 Administered a sexual assault kit
	07 Middle Eastern or North African	1	
	08 Other racial category in your information system -		04 ☐ Given post-exposure prophylaxis (PEP) and/or emergency contraception
	Specify Z		OF Civen a programmy test
	마다는 아크리 (14년) 12 Marie 12 Mar	DE S	os Given a pregnancy test
			06 Tested for HIV/AIDS
13.	Victim #1: What was the victim's citizenship?		or ☐ Tested for other sexually transmitted infections os ☐ Offered but declined testing or treatment and/or
			counseling
	01 A U.S. citizen	1000	09 Already released/discharged
	02 Not a U.S. citizen		10 ☐ None of the above
	03 🗌 Of unknown citizenship status		TO LI Notic of the above

 22. Victim #2: What was the victim's citizenship? 01 ☐ A U.S. citizen 02 ☐ Not a U.S. citizen 03 ☐ Of unknown citizenship status 23. Victim #2: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.) 01 ☐ A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia etc.) 02 ☐ A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.) 03 ☐ A physical impairment or disability (e.g., back or spina injury, blindness or low vision, deafness or hearing los diabetes, epilepsy, heart disease, mobility impairments
etc.) 24. Victim #2: Did the victim sustain any physical injury during the incident? 01 ☐ Yes 02 ☐ No 03 ☐ Unknown If "Yes" → a. What injuries occurred? (Mark all that apply.) 01 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts 02 ☐ Bites 03 ☐ Burns
O3 Burns 04 Chipped or knocked out teeth 05 Anal or vaginal tearing 06 Knocked unconscious 07 Broken bones 08 Knife or stab wounds 09 Internal injuries 10 Other - Specify
→ b. Did the victim receive medical treatment for these injuries? 01 Yes 02 No 03 Unknown 25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.) 01 Provided with counseling or mental health treatment 02 Given a medical examination 03 Administered a sexual assault kit 04 Given post-exposure prophylaxis (PEP) and/or emergency contraception 05 Given a pregnancy test 06 Tested for HIV/AIDS 07 Tested for other sexually transmitted infections 08 Offered but declined testing or treatment and/or counseling

26. Victim #2: After the incident was reported, was the victim – (Mark all that apply.) o1 □ Separated from perpetrator o2 □ Confined to own cell or room o3 □ Placed in or returned to restrictive housing o4 □ Placed in a medical unit, ward, or hospital o5 □ Placed in camera room, under close surveillance, or increased supervision o6 □ Transferred to another housing unit or dorm, or given a single room or cell o7 □ Given a higher custody level or different unit within the facility o8 □ Transferred to another facility o9 □ Issued disciplinary report or loss of privileges odo The Poecify □ Other – Specify □ Other → Specify □ O	28. What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.) 01 Persuasion or talked into sexual activity 02 Bribery or blackmail 03 Gave the victim drugs or alcohol 04 Offered protection from other inmates 05 Threats made (e.g., threatened harm to victim or victim's family, threatened with a weapon) 06 Unwanted touching, grabbing, or groping of the victim by surprise or while the victim was asleep or incapacitated 07 Physically held victim down or restrained in some way, including having other inmates restrain the victim 08 Physical harm or injury
None of the above Section B INMATE PERPETRATORS OF	 Other – Specify 10 □ None of the above How many inmate perpetrators were involved in the incident? Number of inmate perpetrators □
SEXUAL VICTIMIZATION → If the perpetrator was a staff member, go to Section C on pages 6-7. 27. What was the nature of the incident? (Mark all that apply.) on Repeated verbal comments or gestures of a derogatory or offensive sexual nature on Repeated and unwelcome sexual advances or requests for sexual favors on Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature on Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh, or the buttocks on Nonconsensual sexual act that resulted from pressure or coercion (without force) on Nonconsensual sexual act that resulted from physical force (or the threat of force) on Other - Specify	→ If more than two inmate perpetrators were involved, please complete this form online. 30. Perpetrator #1: What was the inmate perpetrator's sex assigned at birth? Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones. o1

32. Perpetrator #1: What was the inmate perpetrator's age at the time of the incident? ### years		36.	36. Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)		
	7 10 years		01 Male	05 Gender nonconforming	
33	Perpetrator #1: What was the inmate		02 Female	06 ☐ Other – Specify ✓	
	perpetrator's race or ethnicity? (Mark all that apply.)		03 Transgender	oo 🖂 Oniel – Opechy 🖟	
	4. N. M. H. M. N. M.		04 ☐ Intersex	07 Unknown	
	01 ☐ White 02 ☐ Black or African American		04 LI IIIIEISEX	07 L Unknown	
	03 Hispanic or Latino				
	04 American Indian or Alaska Native	37.	Perpetrator #2: W	hat was the inmate	
	05 Asian		perpetrator's age	at the time of the incident?	
	06 ☐ Native Hawaiian or Pacific Islander 07 ☐ Middle Eastern or North African		years		
	08 ☐ Other racial category in your information system – Specify ∠	38.	Perpetrator #2: W perpetrator's rac	/hat was the inmate	
			(Mark all that apply.)	e or ethnicity?	
		1	01 White		
			02 Black or Africar	n American	
34.	Perpetrator #1: After the incident, was the		03 Hispanic or Lat	ino	
	inmate perpetrator - (Mark all that apply.)	Favet.	04 American India	n or Alaska Native	
	01 Confined to own cell or room		05 Asian		
	02 ☐ Placed in or returned to restrictive housing		06 Native Hawaiian or Pacific Islander 07 Middle Eastern or North African		
	o3 Placed in higher custody level, restricted unit or program, within the same facility		08 Other racial cat	or North African egory in your information system –	
	04 Transferred to other unit/cell or separated from victim		Specify Z		
	05 Transferred to another facility				
	oe Sent to counseling or mental health treatment	100		Market Route and Control	
	or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: At	ter the incident, was the inmate	
	os Loss of "good/gain" time, increase in "bad" time or delayed release		perpetrator - (Mar.	k all that apply.)	
	09 Given extra work		02 Placed in or returned to restrictive housing		
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		03 Placed in higher	r custody level, restricted unit or the same facility	
	11 Arrested or referred to law enforcement agency			ther unit/cell or separated from victim	
	12 Referred for prosecution or indicted		05 Transferred to an	nother facility	
	13 Convicted, plead guilty, given new sentence, or fined			ng or mental health treatment	
	14 ☐ Awaiting a legal outcome 15 ☐ Other – Specify ☑		or Given medical tre (e.g., post-exposi	eatment or testing ure prophylaxis (PEP), HIV/AIDS)	
			08 Loss of "good/gai delayed release	in" time, increase in "bad" time or	
		Sales Collection of the Collec	09 🔲 Given extra worl		
	→ If there were no other perpetrators, please skip to Notes on page 8 (if applicable) or submit form.		violation, or othe		
			11 Arrested or refer	red to law enforcement agency	
			12 Referred for pros	secution or indicted	
35.	Perpetrator #2: What was the inmate	,,,,	13 Convicted, plead	guilty, given new sentence, or fined	
	perpetrator's sex assigned at birth?		14 🗌 Awaiting a legal o	utcome	
	01 Male		15 ☐ Other – Specify	Z	
	02 🗌 Female				

	Section C STAFF PERPETRATORS OF SEXUAL VICTIMIZATION	45. Staff #1: Was the staff perpetrator involved in the incident –
	→ If the perpetrator was an inmate, go to Section B on pages 4-5.	o1 ☐ Full- or part-time paid employee o2 ☐ Contract employee or vendor o3 ☐ Volunteer or intern
40.	What was the nature of the incident? (Mark all that apply.)	04 ☐ Other – Specify ₽
	o1 ☐ Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature o2 ☐ Wrote letters, showed pictures, talked on the phone, or through video conferencing o3 ☐ Exhibited grooming or manipulative behaviors such as providing gifts, special privileges, or favors to inmates o4 ☐ Indecent exposure, invasion of privacy, or voyeurism o5 ☐ Any attempt, threat, or request related to abusive sexual contact or sexual acts o6 ☐ Any touching or kissing for sexual gratification o7 ☐ Pressure or abuse of power resulting in a sexual act o8 ☐ Physical force resulting in a sexual act	46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident? □□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□
41.	How many staff perpetrators were involved in the incident?	 Administrator, including wardens, superintendents, assistants and others in administrative positions Clerical staff including secretaries, clerks, receptionists, and other administrative support
	Number of staff	08 ☐ Other program staff 09 ☐ Volunteers or interns 10 ☐ Other staff — Specify ☑
	→ If more than two staff were involved, please complete this form online.	
	Staff #1: What was the staff perpetrator's gender?	47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?
	01 Male	01 ☐ Less than 6 months
	02 Female	02 G months to 1 year
49	Chall Hd. VAII.	03 ☐ 1 to 5 years
40.	Staff #1: What was the staff perpetrator's age at the time of the incident?	04 ☐ 6 to 10 years
		05 11 or more years
	years	48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.)
14.	Staff #1: What was the staff perpetrator's race	on ☐ Sent to training or counseling
	or ethnicity? (Mark all that apply.)	o2 ☐ Reprimanded or disciplined
	01 White	os ☐ Transferred to another facility or unit
	02 Black or African American	os ☐ Transierred to another racility or unit
	03 Hispanic or Latino	temporarily, or placed on administrative leave
	04 American Indian or Alaska Native	05 Discharged, terminated, or contract not renewed
	05 ☐ Asian 06 ☐ Native Hawaiian or Pacific Islander	of Staff resigned (prior to completion of investigation)
1	06 ☐ Native Hawaiian or Pacific Islander 07 ☐ Middle Eastern or North African	07 Staff resigned (after investigation was completed)
	on Initialize Eastern or North African On Other racial category in your information system —	08 ☐ Arrested or referred to law enforcement agency
	Specify	09 ☐ Referred for prosecution or indicted
		10 Convicted, plead guilty, sentenced, or fined
		11 Awaiting a legal outcome
		12 ☐ Other – Specify
		13 ☐ No action taken

	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	01 Correctional officer, supervisory or security staff, or direct care staff
	01 Male	02 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
	02 Female	03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	workers, nurses, and medical assistants o4 Education staff, including instructors, teachers, librarians, and education assistants
	years	os ☐ Member of the clergy or chaplaincy
	years	06 Administrator, including wardens, superintendents
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	assistants and others in administrative positions or Clerical staff including secretaries, clerks,
	o1 ☐ White	receptionists, and other administrative support
	02 Black or African American	08 Other program staff
	03 Hispanic or Latino	09 Volunteers or interns
	04 ☐ American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	05 Asian	
	06 Native Hawaiian or Pacific Islander	
	07 ☐ Middle Eastern or North African	54. Staff #2: At the time of the incident, how long
	08 ☐ Other racial category in your information system – Specify	had the staff perpetrator worked at the facility?
		01 ☐ Less than 6 months
		02 ☐ 6 months to 1 year
		03 ☐ 1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years
	the incident -	05 11 or more years
	o1 🗆 Full- or part-time paid employee	
(Contract employee or vendor Substituting paid employee	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	04 ☐ Other – Specify ⊋	o₁ ☐ Sent to training or counseling
		02 Reprimanded or disciplined
		03 La Transferred to another facility or unit
		04 Demoted, diminished responsibilities, or suspended temporarily, or placed on administrative leave
		05 Discharged, terminated, or contract not renewed
		06 Staff resigned (prior to completion of investigation)
		07 Staff resigned (after investigation was completed)
		08 Arrested or referred to law enforcement agency
		○9 ☐ Referred for prosecution or indicted
		10 Convicted, plead guilty, sentenced, or fined
		11 Awaiting a legal outcome
		12 ☐ Other – Specify ∠
		13 ☐ No action taken
		10 action tanell

FORM SSV-IA (07-09-2024)

	NOTES	
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DEFINITIONS

Sexual victimization

Inmate-on-inmate sexual abuse: Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

Inmate-on-inmate sexual harassment: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another.

Staff-on-inmate sexual abuse: Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs
 (1) (5) of this section;
- Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

Staff-on-inmate sexual harassment: Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

Sex and gender identity

Sex: A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

Intersex: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

Gender nonconforming: A person whose gender indentity does not conform to traditional societal gender expectations.

Transgender: A person whose gender identity is different from the person's sex assigned at birth.

Disability

Disability: A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.